



# Mining Risks Proposal Form

## Important Notices:

Please read the following advice before completion of this Proposal Form

- The persons whose interests are to be insured under this policy should understand the terms, definitions and cover provided by this policy by reference to our Policy Wording. It is available from Your Insurance Adviser or our Website.
- When SRS Underwriting Agency Pty Ltd place this policy of insurance under an authority given to us by the Insurer, we will be effecting the contract as Agent of the Insurer.

## Your Duty of Disclosure.

When we provide Insurance terms for you, whether for a new policy, renewal of a policy or changes to or reinstatement of your policy, we rely on the information you provide to us. You must tell us anything that you know, or should know, that could affect

- Our decision to insure you,
- the amount of the premium we charge you or
- whether we should impose special conditions to this cover.

You do not need to tell us about anything which:

- Reduces the likelihood of a claim
- Is of common knowledge
- We know, or as an Insurer should know
- We indicate that we do not want to know

**What you must tell us.** When answering our questions, you must be honest and you have a duty under law to tell us anything known to you, and which a reasonable person in the circumstances, would include in answer to the question. We will use the answers in deciding whether to insure you and anyone else to be insured under the policy, and on what terms.

**Who needs to tell us.** It is important that you understand you are answering our questions in this way for yourself and anyone else whom you want to be covered by the policy.

**If you do not tell us.** If you do not answer our questions in this way, we may reduce or refuse to pay a claim, or cancel the policy. If you answer our questions fraudulently, we may refuse to pay a claim and treat the policy as never having existed

## Privacy Statement

We are committed to protecting your privacy. We only use the personal information you provide to us to quote on and insure your risks. We only provide personal information to our underwriters and reinsurers (and their representatives) and those we appoint to assist us with claims under your policy. We will not trade, rent or sell your information.

If you do not provide us with complete information, we cannot properly quote for your insurance and we cannot insure you. You can check the personal information we hold about you at any time.

If you provide us with personal information about anyone else, we rely on you to have told them that you will provide their information to us, to whom we may provide it, the purposes for which we will use it and that they can access it. If the information is sensitive, we rely on you to have obtained their consent on these matters.

For more information about our Privacy Policy, ask us for a copy or visit our website.

## No Cover if Rights "Signed Away"

This policy does not cover loss, destruction, damage or legal liability in respect of which any right which you may otherwise have had against any person, company or partnership is excluded or limited by reason of any agreement you may enter into.

Please be careful before you sign anything that you do not jeopardise your entitlement to be covered under this Policy.

## Persons Covered

Unless this insurance is otherwise extended, the insurance proposed here will, when incepted, cover only the interests of those persons/entities specifically named in this proposal and accepted by us. It will not cover the interests of any other persons / entities.

**Please note if there is insufficient space** provided to fully answer any question, please attach an additional sheet of paper with the extra information as required. All such attachments will form part of your application for insurance and be subject to the Declaration on the last page of this Proposal.

**Please answer all questions.** Any question left unanswered or answered as known to broker or insurer or otherwise answered in an incomplete way may delay the processing of your request for this insurance. **Ensure the cover you request is adequate for your requirements.**

**Your Insurance adviser** can assist you to complete this form. They will send it to us so that we may quote on your insurance request.

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Please print and/or tick the appropriate answers to the all questions below.

This application is for  New Business  Renewal - Policy Number (if known) is: .....

1. Proposer(s)

Company Name: .....

Postal Address: ..... Postcode: .....

If the operation for which this insurance is required is a joint venture, please provide names of all partners and their equal capacity.

.....  
.....  
.....

2. What is the location of the site for which this insurance is required: .....

.....

3. General description of operations at this site: .....

.....

4. When did operations at this site commence? .....

5. Are the present operators / partners the original owners?  Yes or  No

If No,

(a) When was the site acquired? .....

(b) From whom was it acquired? .....

6. What is Production at this site:

(a) Tonnage: .....

(b) Sales Value of Production: .....

7. What are the Mineral / Ore Reserves

(a) Proven Reserves .....

(b) Probable Reserves .....

8. What is the total value of the company assets at the last balance date? .....

9. Please provide copies of the Annual Reports and Accounts for each of the last three years and a copy of any Prospectus issued in the same period.

10. The following Site Specific Information is required

(a) Power Supply

(i) Type: .....

.....

(ii) Source: .....

.....

(iii) Distribution (above or below ground and voltages): .....

.....

(iv) Emergency back up: .....

.....

(b) Fuel Supply

(i) Type: .....

.....

(ii) Source (ie. transport on to the site): .....

.....

(iii) Storage (ie. tankage details including volume above or below ground, bund capacity, etc) .....

.....

(iv) Dispensing and distribution: .....

(v) Safeguards and controls:.....

(c) **Process Chemicals**

(i) Type: .....

(ii) Source (ie. Transport on to the site): .....

(iii) Storage:.....

(iv) Delivery for use in process: .....

(v) Safeguards and controls:.....

(d) **Ore**

(i) Type: .....

(ii) Source: .....

(iii) Transport onto this site: .....

(iv) Stockpiling at this site prior to processing:.....

(v) Stockpiling at this site after processing:.....

(vi) Transport away from this site: .....

(vii) Is the ore or processed mineral considered hazardous in any way?  Yes or  No

If Yes, please provide details of safeguards: .....

(e) **Process Water**

(i) Source: .....

(ii) Storage:.....

(iii) Treatment: .....

(iv) Retreatment:.....

(v) Tailings, dams and water recovery: .....

(vi) Water run off monitoring and testing:.....

(vii) Controls and safeguards .....

(f) **Wastes and Emissions**

(i) What are their common names? .....

(ii) Chemical composition of each:.....

(iii) Neutralising treatments and controls: .....

(iv) Storage and disposal:.....

(v) Safeguards and controls:.....

(vi) Which wastes and emissions are toxic? .....

(vii) Is any toxic waste buried on or off site?  Yes or  No

(g) **Employees**

(i) Total for this site: Administration:..... Production:..... Maintenance: .....

(ii) Details of shift structure: .....

(iii) Days worked per week: .....

(iv) Timing of programmed maintenance shut downs:.....

(v) Other programmed shut downs: .....

(vi) Does the workforce live in close proximity to this site?  Yes or  No  
If Yes, please provide details:.....

(h) **Contractors / Sub-Contractors**

Do you use contractors and/or sub-contractors to perform work in your business operations?  Yes or  No

If Yes,

Do they work under your direct supervision or control?  Yes or  No

What is the estimated annual Payment: \$.....

What is the nature of work carried out: .....

Are contractors / sub-contractors required to carry their own insurance for;

- i) Public liability  Yes or  No
- ii) Workers' compensation  Yes or  No

If yes, how is this checked? .....

What is the minimum limit for their public liability insurance? \$.....

(i) **Labour Hire**

(i) Do you use personnel supplied by labour hire companies to perform work in your operations?  Yes or  No  
If Yes, please advise

Company	Type of Works	Annual Payments
.....	.....	.....
.....	.....	.....

(ii) Are you required to insure these labour hire personnel for Workers Compensation?  Yes or  No

Please provide copies of the indemnity and insurance clauses of agreements entered into with the labour hire company.

**11. Local Environment Relative to the Site**

- (a) (i) Does the operation provide on site or near site accommodation for the workforce?  Yes or  No
- (ii) Is it site accommodation with messing facilities only or a full townsite with shops, a service station and community amenities, school, etc? .....
- (iii) Population: .....
- (iv) Water Supply:  
Source: .....
- Storage: .....
- Treatment: .....
- (v) Arrangements for collection, treatment and disposal of domestic rubbish: .....
- (b) (i) Nearest unassociated residential or industrial land uses:  
Location and distance from this site: .....
- Type of Use: .....
- (ii) Surrounding land use classification (ie. pastoral, national park, etc): .....
- (iii) Brief description of surrounding topography, vegetation, waterways, etc: .....

**12. Legal and Legislative Matters**

- (a) Is there a written corporate policy defining the objectives and constraints of emissions / waste / effluent / water run off, management?  Yes or  No  
If Yes, please provide a copy.
- (b) What is the position / title of the officer who has overall responsibility for pollution control matters: .....
- (c) Are contractors utilised for the disposal of wastes?  Yes or  No  
If Yes,  
For which wastes? .....
- Where are the wastes removed to? .....
- (d) Is there a written corporate policy defining the Environmental Rehabilitation programme and its objectives?  Yes or  No  
If Yes, please provide a copy.
- (e) What is the position / title of the officer with overall responsibility for the Environmental Rehabilitation Programme? .....
- (f) Specify the legislation and regulations to which the site is subject to in respect of pollution, environmental matters generally and environmental rehabilitation in particular: .....
- (g) List any other regulations, licenses, permits or other forms of agreement with Federal, State and Local government in relation to pollution, general environmental matters or environmental rehabilitation (please provide copies) .....
- (h) (i) Is the site in compliance with all legal environmental requirements?  Yes or  No  
If No, please explain: .....

(ii) Have there been any prosecutions, lawsuits or government enforced shutdowns as a consequence of environmental matters or a pollution incident?  Yes or  No

If Yes, please provide details:.....  
.....

(iii) Have there been any serious accidents with the potential to create environmental or pollution problems?  Yes or  No

If Yes, please provide details:.....  
.....

(i) Is there an environmental contingency (emergency response) plan in place?  Yes or  No

If Yes, please provide a copy.

**13. Financial**

With regard to the guarantee to be provided to government in respect of this site, what is:

(i) The amount of the guarantee: \$.....

(ii) The desired inception date: .....

**14. Claims**

After investigation with present and past insurers, have you in the last 7 years had a liability claim made against you (whether insured or not)?  Yes or  No

If yes, please provide full details: .....

**15. Insurance History**

Has any Insurer ever declined, refused to renew, cancelled, or imposed special terms or conditions on any proposal, renewal or policy held by you?  Yes or  No

If yes, please provide details: .....

**16. Duty of Disclosure**

Are there any other matters to disclose to us to fulfil your Duty of Disclosure?  Yes or  No

If yes, please provide relevant details: .....

**Declaration and agreement:**

I/We agree to make the property to be insured by this policy available for inspection by SRS or their representatives.  
I/We acknowledge that no cover is provided unless and until, underwriters advise in writing of the cover and terms which they can provide, this cover and terms is then accepted by the Insured, Underwriters are advised of acceptance of their cover and terms offer and SRS acknowledges to the Insured that Cover is provided.  
If additional pages are attached for inclusion in this proposal they form part of this proposal.  
The answers and information given by me/us in this proposal are true and correct in all respects.  
Where answers in this proposal are not in my/our own handwriting, they have been checked by me/us and I/we agree that they are correct and that the other person who completed this form did so as my Agent.  
I/We acknowledge having been clearly informed of and understand the effect of all of the Notices on Page 1 of this Form.  
I/We authorise SRS to give to, or obtain from other insurers or an insurance or credit reference bureau, any information relating to or which may impact on this insurance cover, and any other insurances held by me/us and claims under those insurances.  
By signing this application, I/We agree to SRS collecting, using and disclosing my/our personal information, including sensitive information if applicable, in accordance with the Privacy Statement and the SRS Privacy policy.

Signature/s:..... Date: .....

Full Name of such Person: ..... Title: .....